



IFW 2612

PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/306,813
	Filing Date	May 7, 1999
	First Named Inventor	Yoshinori Kuno et al.
	Art Unit	2612
	Examiner Name	Justin P. Misleh
Total Number of Pages in This Submission	Attorney Docket Number	075834.00033

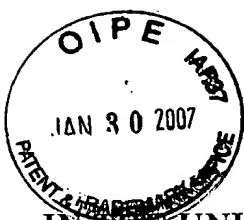
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Form PTO/SB/08A, cited references, and a postcard.
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b> The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No.50-3891		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Rockey, Depke, Lyons & Kitzinger, LLC 233 S. Wacker Drive, Suite 5450, Chicago, IL 60606		
Signature			
Printed name	Robert J. Depke		
Date	1/16/07	Reg. No.	37,607

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Robert J. Depke	Date
		1/16/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Yoshinori Kuno et al.      **Atty. Docket No.** 075834.00033  
**Serial No.:** 09/306,813      **Group Art Unit:** 2612  
**Filed:** May 7, 1999      **Examiner:** Justin P. Misleh  
**Invention:** SOLID STATE IMAGE PICKUP DEVICE

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

SIR:

In accordance with 37 C.F.R §§1.97, 1.98, Applicant and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying form PTO/SB/08A.

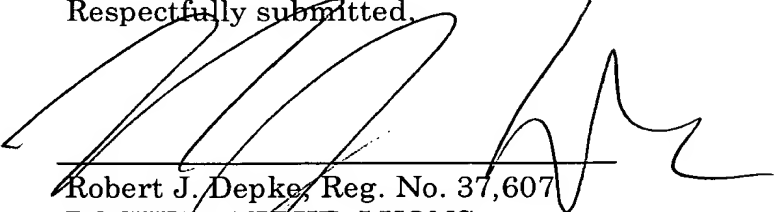
Applicants hereby certify, as is required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each item of information contained in the Information Disclosure Statement is submitted within three months of the search report for the corresponding foreign application, and thus no fee is due for consideration of this document.

The undersigned also points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge the fee to the deposit account of the undersigned firm, Account No. 50-3891.

Respectfully submitted,

Date: 1/16/07

  
Robert J. Depke, Reg. No. 37,607  
ROCKEY, DEPKE, LYONS  
& KITZINGER, LLC  
233 S. Wacker, Suite 5450  
Chicago, IL 60606  
(312) 277-2006



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number		
			Filing Date		
			First Named Inventor		
			Group Art Unit		
			Examiner Name		
Sheet	1	of	1	Attorney Docket Number	075834.00033

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	US1					
	US2					
	US3					
	US4					
	US5					
	US6					
	US7					
	US8					
	US9					
	US10					
	US11					
	US12					
	US13					
	US14					
	US15					
	US16					
	US17					
	US18					
	US19					
	US20					

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	F1	JP	08-172505		Fuji Xerox Co. Ltd.	07/02/1996		
	F2							
	F3							
	F4							
	F5							
	F6							
	F7							
	F8							
	F9							
	F10							

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# 0033

## LIST FOR IDS

REFERENCE WITH "-cb" ATTACHED TO THE  
NUMBER HAS BEEN CITED BEFORE,  
REFERENCE WITHOUT THE SUFFIX HAS BEEN  
FIRST CITED IN THE ATTACHED OA.

SONY REF.				US SERIAL NO.		IDS TIMING	
S99P0470US00				09/306813		OA (JP)	
CORRESPONDING JAPANESE PATENT APPLICATION						[ATG-CASE]	
JP		10 - 128370		OA issued on: 2006/10/17			
PATENT DOCUMENT(S)						ENGLISH EQUIVALENT	
1	JPA	HEI	08 - 172505	( JPA	1996 172505	)	
2						)	
3						)	
4						)	
5						)	
6						)	
7						)	
8						)	
9						)	
10						)	
11						)	
12						)	
13						)	
14						)	
15						)	
NON-PATENT DOCUMENT(S)						ENGLISH	
1							
2							
3							
4							
5							
6							